

# VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F.R. 1.9(f) AND 1.27(b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 C.F.R. 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled <u>RANDOM NUMBER GENERATOR FOR GAME PLAYING</u>: AND METHODS described in

	b) [ c) [	a) \( \text{ the specification filed nerewith.} \) b) \( \provisional application serial no, filed \) c) \( \provisional non-provisional application serial no, filed \) d) \( \provisional patent no, issued \)			
	I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 C.F.R. 1.9(c) if that person has made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e).				
art dans had	Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:				
400 Aure 400 40	<ul> <li>a) ⊠ no such person, concern, or organization</li> <li>b) □ persons, concerns or organizations listed below*</li> </ul>				
n may st it than trace that that that that	*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. 1.27)				
ļ L	NAME				
יין לעותי אוותי לעותי	ADDRESS	a)   INDIVIDUAL	b)   SMALL BUSINESS CONCERN	c)   NONPROFIT ORGANIZATION	
4	NAME ADDRESS				
	ADDRESS	a) [ INDIVIDUAL	b)   SMALL BUSINESS CONCERN	c) NONPROFIT ORGANIZATION	
	I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b))				
	I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereof, or any patent to which this verified statement is directed.				
	Michael A. Boy NAME OF INV	vling	NAME OF INVENTOR	NAME OF INVENTOR	
	Signature of Inv	ventor 7	Signature of Inventor	Signature of Inventor	
	Date	• • • • • • • • • • • • • • • • • • • •	Date	Date	

## MERCHANT, GOULD, SMITH, EDELL, WELTER & SCHMIDT

#### **United States Patent Application**

#### COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: RANDOM NUMBER GENERATOR FOR GAME PLAYING AND METHODS

GENERATOR FOR GA	ME PLAYING AND METHODS			
The specification of whi a. ⊠ is attached hereto b. □ was filed on as described and claimed ir United States patent.	s application serial no. and was ame		the case of a PCT-filed application) I have reviewed and for which I sol	icit a
I hereby state that I have any amendment referred	reviewed and understand the contents of to above.	of the above-identified specifi	cation, including the claims, as amen	ded by
of Federal Regulations, of Federal Regulations, of the second region of the application o	to disclose information which is materia § 1.56 (attached hereto).  riority benefits under Title 35, United Sind have also identified below any foreign the basis of which priority is claimed:  ns have been filed.  have been filed as follows:	tates Code, § 119/365 of any	foreign application(s) for patent or in	ventor's
-4	FOREIGN APPLICATION(S), IF ANY	, CLAIMING PRIORITY UNDER	35 USC § 119	
COUNTRY  III  III	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)	
Ū	ALL FOREIGN APPLICATION(S), IF ANY,	FILED BEFORE THE PRIORITY	APPLICATION(S)	
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)	
		1		

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)

I hereby appoint the following attorne, and/or patent agent(s) to prosecute this appliance and to transact all business in the Patent and Trademark Office connected herewith:

	Albrecht, John W.	Reg. No. 40,481	Lacy, Paul E.	Reg. No. 38,946
	Batzli, Brian H.	Reg. No. 32,960	Larson, James A.	Reg. No. 40,443
	Beard, John L.	Reg. No. 27,612	Lasky, Michael B.	Reg. No. 29,555
	Beck, Robert C.	Reg. No. 28,184	Lindquist, Timothy A.	Reg. No. 40,701
	Berman, Charles	Reg. No. 29,249	Lynch, David W.	Reg. No. 36,204
	Bogucki, Raymond A.	Reg. No. 17,426	Mau, Michael L.	Reg. No. 30,087
	Bruess, Steven C.	Reg. No. 34,130	Maunu, Leroy D.	Reg. No. 35,274
	Byrne, Linda M.	Reg. No. 32,404	McDaniel, Karen D.	Reg. No. 37,674
	Canady, Karen S.	Reg. No. 39,927	McDonald, Daniel W.	Reg. No. 32,044
	Carlson, Alan G.	Reg. No. 25,959	McIntyre, Iain A.	Reg. No. 40,377
	Carter, Charles G.	Reg. No. 35,093	Mueller, Douglas P.	Reg. No. 30,300
	Caspers, Philip P.	Reg. No. 33,227	Nasiedlak, Tyler L.	Reg. No. 40,099
	Chiapetta, James R.	Reg. No. 39,634	Nelson, Albin J.	Reg. No. 28,650
	Clifford, John A.	Reg. No. 30,247	Orler, Anthony J.	Reg. No. 41,232
	Cooper, Victor G.	Reg. No. 39,641	Pauly, Daniel M.	Reg. No. 40,123
	Crawford, Robert	Reg. No. 32,122	Plunkett, Theodore	Reg. No. 37,209
	Daignault, Ronald A.	Reg. No. 25,968	Pollinger, Steven J.	Reg. No. 35,326
	Daley, Dennis R.	Reg. No. 34,994	Pytel, Melissa J.	Reg. No. P-41,512
	Dalglish, Leslie E.	Reg. No. 40,579	Reich, John C.	Reg. No. 37,703
	Daulton, Julie R.	Reg. No. 36,414	Reiland, Earl D.	Reg. No. 25,767
	DiPietro, Mark J.	Reg. No. 28,707	Rittmaster, Ted R.	Reg. No. 32,933
	Edell, Robert T.	Reg. No. 20,187	Schmaltz, David G.	Reg. No. 39,828
	Epp Ryan, Sandra	Reg. No. 39,667	Schmidt, Cecil C.	Reg. No. 20,566
	Farber, Michael B.	Reg. No. 32,612	Schuman, Mark D.	Reg. No. 31,197
	Funk, Steven R.	Reg. No. 37,830	Schumann, Michael D.	Reg. No. 30,422
	Gates, George H.	Reg. No. 33,500	Sebald, Gregory A.	Reg. No. 33,280
á	Glance, Robert J.	Reg. No. 40,620	Sharp, Janice A.	Reg. No. 34,051
3	Golla, Charles E.	Reg. No. 26,896	Skoog, Mark T.	Reg. No. 40,178
	Gorman, Alan G.	Reg. No. 38,472	Smith, Jerome R.	Reg. No. 35,684
	Gould, John D.	Reg. No. 18,223	Sumner, John P.	Reg. No. 29,114
	Gresens, John J.	Reg. No. 33,112	Sumners, John S.	Reg. No. 24,216
	Hamre, Curtis B.	Reg. No. 29,165	Tellekson, David K.	Reg. No. 32,314
¥:	Hillson, Randall A.	Reg. No. 31,838	Underhill, Albert L.	Reg. No. 27,403
	Hollingsworth, Mark A.	Reg. No. 38,491	Vandenburgh, J. Derek	Reg. No. 32,179
w	Johnston, Scott W.	Reg. No. 39,721	Victor, David W.	Reg. No. 39,867
	Kastelic, Joseph M.	Reg. No. 37,160	Welter, Paul A.	Reg. No. 20,890
	Kettelberger, Denise	Reg. No. 33,924	Williams, Douglas J.	Reg. No. 27,054
	Komanduri, Janaki	Reg. No. 40,684	Wood, Gregory B.	Reg. No. 28,133
	Kowalchyk, Alan W.	Reg. No. 31,535	Xu, Min S.	Reg. No. 39,536
	Kowalchyk, Katherine M.	Reg. No. 36,848		
		•		

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Merchant, Gould, Smith, Edell, Welter & Schmidt to the contrary.

Please direct all correspondence in this case to Merchant, Gould, Smith, Edell, Welter & Schmidt at the address indicated below:

Merchant, Gould, Smith, Edell, Welter & Schmidt 3100 Norwest Center 90 South Seventh Street Minneapolis, MN 55402-4131 I hereby declare that all statements may be rein of my own knowledge are true and that the statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2	Full Name Of Inventor	Family Name BOWLING	First Given Name Michael	Second Given Name A.	
0	Residence & Citizenship	City Tucson	State or Foreign Country Arizona	Country of Citizenship U.S.A.	
1	Post Office Address	Post Office Address 7931 South Avenida de Pina	City Tucson	State & Zip Code/Country AZ/85747/USA	
Signa	ature of Inventor 2	01: Wicharla Bowl	D:	Date: 12-31-97	

### § 1.56 Duty to disclose information

" [] <u>1</u>





- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
  - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;
    - (2) It refutes, or is inconsistent with, a position the applicant takes in:
      - (i) Opposing an argument of unpatentability relied on by the Office, or
      - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the prepanderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application:
  - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.